



BSX Business Rules

Monthly Surplus Liquid Capital Return

References Version 1, Operative 12/7/2001

BSX Business Rules, Chapter 3

			Comments
Name of BSX Broker			
Dealers License Number			
Month and Year			
ASIC License Condition Summary			
		\$A	
Current Assets	A		
BSX Approved Inclusions	B		
Sub Debt	C		
Total Liabilities	D		
Excluded Assets	E		
Surplus Liquid Assets (A+B+C-D-E)	T		
Adjusted Liabilities	AL		
Surplus Liquid Capital as a percentage of Adjusted Liabilities (T/AL)		%	

Notes:

I certify that the above information and notes attached are to the best of my knowledge a true and correct summary of the Surplus Liquid Capital calculation.

Dated the day of
